



PTO/SB/08b (08-03)

Approved for use through 6/30/2008. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete If Known

Application Number	10/783,113
Filing Date	February 20, 2004
First Named Inventor	Alon Shalev
Art Unit	3762
Examiner Name	Scott M. Getzow
Attorney Docket No.	SC&C-101US

SHEET 1 of 1

NON-PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (In CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
SG		U.S. Provisional Application No. 60/531,224 filed December 19, 2003 for SKULL-MOUNTED ELECTRICAL STIMULATION SYSTEM AND METHOD FOR TREATING PATIENTS by Todd K. Whitehurst and Rafael Carbunaru	<input type="checkbox"/>
		U.S. Patent Application No. 10/428,743 filed May 24, 2002 for TREATMENT OF EPILEPSY BY BRAIN STIMULATION by Todd K. Whitehurst	<input type="checkbox"/>
		U.S. Provisional Application No. 60/265,008 filed January 30, 2001 for FULLY IMPLANTABLE MINIATURE NEUROSTIMULATOR FOR STIMULATION AS A THERAPY FOR EPILEPSY by Todd K. Whitehurst and James P. McGivern	<input type="checkbox"/>
		U.S. Provisional Application No. 60/383317 filed May 24, 2002 for ELECTRICAL STIMULATION AND DRUG INFUSION SYSTEMS AND METHODS FOR TREATMENT OF EPILEPSY by Todd K. Whitehurst	<input type="checkbox"/>
		U.S. Provisional Application No. 60/505,831 filed September 25, 2003 for SKULL-MOUNTED ELECTRICAL STIMULATION SYSTEM by Todd K. Whitehurst and Rafael Carbunaru	<input type="checkbox"/>
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			<input type="checkbox"/>
Examiner Signature	SG	Date Considered	3/22/06

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Art Unit 3762

Examiner Name Scott Getzow

SHEET 1 of 8

Attorney Docket No. SC&C-101US

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
SG		US-2004/0220644 A1	11/04/2004	Shalev et al.	
		US-2004/0210269 A1	10/21/2004	Shalev et al.	
		US-2005/0074506 A1	04/07/2005	Natan et al.	
		US-2005/0159790 A1	07/21/2005	Shalev	
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		US-2005/0137646 A1	06/23/2005	Wallace et al.	
		US-2005/0137647 A1	06/23/2005	Wallace et al.	
		US-2005/0118187 A1	06/02/2005	Yu	
		US-2005/0112090 A9	05/26/2005	Ni et al.	
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		Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)				
SG		WO 03/076008 A1 ✓	09/18/2003	Shalev		<input type="checkbox"/>
		WO 03/090599 A2 ✓	11/06/2003	Shalev		<input type="checkbox"/>
		WO 03/105658 A2 ✓	12/24/2003	Shalev		<input type="checkbox"/>
		WO 2005/002467 A2	01/13/2005	Shalev		<input type="checkbox"/>
		WO 2005/030025 A2	04/07/2005	Shalev et al.		<input type="checkbox"/>
		WO 2005/030118 A2	04/07/2005	Natan et al.		<input type="checkbox"/>
Examiner Signature	S.G.			Date Considered	3/22/06	

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SG		US-2003/0207833 A1	11/06/2003	Berkley et al.	
		US-2003/0203481 A1	10/30/2003	Surber et al.	
		US-2003/0203411 A1	10/30/2003	Sabbadini et al.	
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		US-6,277,841 B1	08/21/2001	Rajagopalan et al.	
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		Country Code ³ - Number ⁴ - Kind Code ⁵ (If known)				
SG		CA 2 408 097 (English Abstract Only)	11/15/2001	Shalev et al.		<input type="checkbox"/>
		WO 01/85094 A3	11/15/2001	Shalev et al.		<input type="checkbox"/>
		WO 2004/113391 A2	12/29/2004	Kong et al.		<input type="checkbox"/>
		WO 03/080795 A2	10/02/2003	Tang et al.		<input type="checkbox"/>
		WO 03/072014 A2	09/04/2003	Sabbadini et al.		<input type="checkbox"/>
		WO 03/033672 A2 /	04/24/2003	Hayashi		<input type="checkbox"/>

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Art Unit 3782

Examiner Name Scott Getzow

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Attorney Docket No. SC&C-101US

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		Number - Kind Code ² (If known)			
SG		US-2005/0020519 A1	01/27/2005	Albiston et al.	
		US-2003/0177514 A1	09/18/2003	Leviten	
		US-2003/0172390 A1	09/11/2003	Wisotzkey et al.	
		US-2003/0131367 A1	07/10/2003	Guenther et al.	
		US-2003/0106083 A1	06/05/2003	Allen	
		US-2003/0056238 A1	03/20/2003	Wisotzkey	
		US-2003/0051268 A1	03/13/2003	Allen	
		US-2003/0018989 A1	01/23/2003	Brennan et al.	
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		US-2003/0013136 A1	01/16/2003	Balser et al.	
		US-2003/0005477 A1	01/02/2003	Leviten	
		US-2003/0005473 A1	01/02/2003	Brennan et al.	
		US-2002/0169307 A1	11/14/2002	Klein	
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		US-2002/0026652 A1	02/28/2002	Allen et al.	
		US-6,905,827 B2	06/14/2005	Wohlgemuth et al.	
		US-5,639,853	06/17/1997	Paradiso et al.	
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		Country Code ³ - Number ⁴ - Kind Code ⁵ (If known)				
SG		WO 02/081510 A2	10/17/2002	Anderson et al.		<input type="checkbox"/>
		WO 02/066643 A2	08/29/2002	Malyankar et al.		<input type="checkbox"/>
		WO 02/057450 A2	07/25/2002	Edinger et al.		<input type="checkbox"/>
		WO 02/46409 A2 ✓	06/13/2002	Guo et al.		<input type="checkbox"/>
		WO 02/46229 A2	06/13/2002	Casman et al.		<input type="checkbox"/>
		WO 02/16439 A2	02/28/2002	Tang et al.		<input type="checkbox"/>

Examiner Signature

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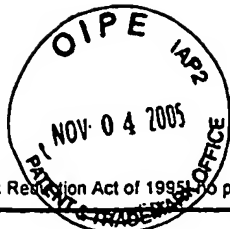
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		Number - Kind Code ² (If known)			
SG		US-2004/0054297 A1	03/18/2004	Wingeier et al.	
		US-6,810,285 B2	10/26/2004	Pless et al.	
		US-6,690,974 B2	02/10/2004	Archer et al.	
		US-2003/0195602 A1	10/16/2003	Boling	
		US-2003/0195588 A1	10/16/2003	Fischell et al.	
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SG		WO 02/06339 A2	01/24/2002	Spaderna et al.		<input type="checkbox"/>
		WO 01/88088 A2	11/22/2001	Tang et al.		<input type="checkbox"/>
		WO 01/64835 A2	09/07/2001	Tang et al.		<input type="checkbox"/>
		WO 01/57190 A2	08/09/2001	Tang et al.		<input type="checkbox"/>
		WO 01/53455 A2	07/26/2001	Tang et al.		<input type="checkbox"/>
		WO 01/52868 A1	07/26/2001	Yu		<input type="checkbox"/>

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SG		US-6,480,743 B1	11/12/2002	Kirkpatrick et al.	
		US-6,473,639 B1	10/29/2002	Fischell et al.	
		US-6,466,822 B1	10/15/2002	Pless	
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		WO 02/059315 A2	08/01/2002	Shimkets et al.		<input type="checkbox"/>
		WO 01/38581 A2	05/31/2001	Tononi et al.		<input type="checkbox"/>
		WO 98/30709	07/16/1998	Jolly et al.		<input type="checkbox"/>
		WO 95/14028	05/26/1995	Hultgren et al.		<input type="checkbox"/>
		WO 03/026401 A2	04/03/2003	Guenther et al.		<input type="checkbox"/>

Examiner
Signature

S. G.

Date
Considered

3/22/06

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Application Number 10/783,113

Filing Date February 20, 2004

First Named Inventor Alon Shalev

Art Unit 3762

Examiner Name Scott Getzow

SHEET 6 of 8

Attorney Docket No. SC&C-101US

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
SG		US-6,609,956 B2	08/26/2003	Margaria	
		US-6,587,719 B1	07/01/2003	Barrett et al.	
		US-6,473,644 B1	10/29/2002	Terry, Jr. et al.	
		US-5,928,272	07/27/1999	Adkins et al.	
		US-5,707,400	01/13/1998	Terry, Jr. et al.	
		US-5,571,150	11/05/1996	Wernicke et al.	
		US-5,540,730	07/30/1996	Terry, Jr. et al.	
		US-5,531,778	07/02/1996	Maschino et al.	
		US-5,351,394	10/04/1994	Weinberg	
		US-5,335,657	08/09/1994	Terry, Jr. et al.	
		US-5,330,515	07/19/1994	Rutecki et al.	
		US-5,304,206	04/19/1994	Baker, Jr. et al.	
		US-5,299,569	04/05/1994	Wernicke et al.	
		US-5,269,303	12/14/1993	Wernicke et al.	
		US-5,263,480	11/23/1993	Wernicke et al.	
		US-5,251,634	10/12/1993	Weinberg	
		US-5,237,991	08/24/1993	Baker, Jr. et al.	
		US-5,235,980	08/17/1993	Varrichio et al.	
		US-5,231,988	08/03/1993	Wernicke et al.	
		US-5,222,494	06/29/1993	Baker, Jr.	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)				
SG		WO 03/026395 A2 ✓	04/03/2003	Wisotzkey et al.		<input type="checkbox"/>
		WO 03/011304 A1 ✓	02/13/2003	Albiston et al.		<input type="checkbox"/>
		WO 03/001883 A2 ✓	01/09/2003	Wisotzkey		<input type="checkbox"/>
		WO 03/000046 A1 ✓	01/03/2003	Allen		<input type="checkbox"/>
		WO 02/081658 A2 ✓	10/17/2002	Allen		<input type="checkbox"/>
		WO 02/079444 A2	10/10/2002	Allen		<input type="checkbox"/>

Examiner Signature

S. G.

Date Considered

3/22/06

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¹Applicant's unique citation designation number (optional).²See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.⁶Applicant is to place a check mark here if English language translation is attached.

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known

Application Number 10/783,113

Filing Date February 20, 2004

First Named Inventor Alon Shalev

Art Unit 3762

Examiner Name Scott Getzow

SHEET 7 of 8

Attorney Docket No. SC&C-101US

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
SG		US-5,215,089	06/01/1993	Baker, Jr.	
		US-5,215,086	06/01/1993	Terry, Jr. et al.	
		US-5,205,285	04/27/1993	Baker, Jr.	
		US-5,188,104	02/23/1993	Wernicke et al.	
		US-5,186,170	02/16/1993	Varrichio et al.	
		US-5,179,950	01/19/1993	Stanislaw	
		US-5,154,172	10/13/1992	Terry, Jr. et al.	
		US-4,979,511	12/25/1990	Terry, Jr.	
		US-			
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Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)				
SG		WO 02/079440 A2	10/10/2002	Brennan et al.		<input type="checkbox"/>
		WO 02/079439 A2	10/10/2002	Allen		<input type="checkbox"/>
		WO 02/079438 A2	10/10/2002	Brennan et al.		<input type="checkbox"/>
		WO 02/079424 A2	10/10/2002	Leviton		<input type="checkbox"/>
		WO 02/48345 A2	06/20/2002	Allen		<input type="checkbox"/>
		WO 02/47477 A2	06/20/2002	Leviton		<input type="checkbox"/>
		WO 02/46390 A2	06/13/2002	Allen		<input type="checkbox"/>
		WO 02/45498 A2	06/13/2002	Allen et al.		<input type="checkbox"/>
		WO 02/42735 A2	05/30/2002	Balser et al.		<input type="checkbox"/>
		WO 02/06445 A2	01/24/2002	Brennan et al.		<input type="checkbox"/>
		WO 01/98508 A2	12/27/2001	Brennan et al.		<input type="checkbox"/>
		WO 01/67855 A2	09/20/2001	Allen et al.		<input type="checkbox"/>

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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Application Number	10/783,113
Filing Date	February 20, 2004
First Named Inventor	Alon Shalev
Art Unit	3762
Examiner Name	Scott Getzow
Attorney Docket No.	SC&C-101US

SHEET 8 of 8

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
SG		WO 2005/015404 A2	02/17/2005	Halevy		<input type="checkbox"/>
		WO 2004/098515 A2	11/18/2004	Raitano et al.		<input type="checkbox"/>
		EP 0 814 089 A2	12/29/1997	Paradiso et al.		<input type="checkbox"/>
		WO 93/03762	03/04/1993	Geczy et al.		<input type="checkbox"/>
		WO 89/02935	04/06/1989	Paradiso et al.		<input type="checkbox"/>
		WO 03/011392 A2 - Check	02/13/2003	Barrett et al.		<input type="checkbox"/>
		WO 03/011393 A1	02/13/2003	Barrett et al.		<input type="checkbox"/>
		WO 03/063959 A1 ✓	08/07/2003	Zabara et al.		<input type="checkbox"/>
		WO 03/018108 A2 ✓	03/06/2003	Terry et al.		<input type="checkbox"/>
		WO 03/018107 A2 ✓	03/06/2003	Maschino et al.		<input type="checkbox"/>
		WO 02/062291 A2	08/15/2002	Barrett et al.		<input type="checkbox"/>
		WO 02/04068 A1	01/17/2002	Barrett et al.		<input type="checkbox"/>
		WO 01/26729 A1	04/19/2001	Terry et al.		<input type="checkbox"/>
		CA 2 433 376 A1 (English Abstract Only)	08/15/2002	Barrett et al.		<input type="checkbox"/>
		CA 2 401 098 A1 (English Abstract Only)	01/17/2002	Barrett et al.		<input type="checkbox"/>
		EP 0 613 389 B1	09/19/2001	Baker et al.		<input type="checkbox"/>
		WO 99/56822	11/11/1999	Adkins et al.		<input type="checkbox"/>
		EP 0 588 957 B1	09/20/2000	Baker		<input type="checkbox"/>
		EP 0 726 791 B1	06/21/2000	Baker		<input type="checkbox"/>
		WO 94/00189	01/06/1994	Terry et al.		<input type="checkbox"/>
		WO 94/00188	01/06/1994	Wernicke et al.		<input type="checkbox"/>
		WO 94/00185	01/06/1994	Wernicke et al.		<input type="checkbox"/>
		EP 0 610 301 B1	02/25/1998	Baker		<input type="checkbox"/>
		WO 93/25271	12/23/1993	Rutecki et al.		<input type="checkbox"/>
		WO 93/09841	05/27/1993	Baker et al.		<input type="checkbox"/>
Examiner Signature	S. G.	Date Considered		3/22/06		

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Attorney Docket No. SC&C-101US

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Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ - Number ⁴ - Kind Code ⁵ (If known)				
						<input type="checkbox"/>
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						<input type="checkbox"/>
						<input type="checkbox"/>
						<input type="checkbox"/>
Examiner Signature	S. G.			Date Considered	3/22/06	

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(AW 08/03)

Substitute for Form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Application Number	10/783,113
Filing Date	February 20, 2004
First Named Inventor	Alon Shalev
Art Unit	
Examiner Name	
Attorney Docket No.	SC&C-101US

SHEET 1 of 1

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Issue/ Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (If known)			
SG		US-5,725,471	03/10/1998	Davey et al.	
		US-5,752,515	05/19/1998	Jolesz et al.	
		US-5,756,071	05/26/1998	Mattern et al.	
		US-6,086,525	07/11/2000	Davey et al.	
		US-2001-0004644 A1	06/21/2001	Levin	
		US-6,405,079 B1	06/11/2002	Ansarinia	
		US-6,432,986 B2	08/13/2002	Levin	
		US-6,526,318 B1	02/25/2003	Ansarinia	
		US-6,491,940 B1	12/10/2002	Levin	
		US-2003-0050527 A1	03/13/2003	Fox et al.	
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		US-2003-0133877 A1	07/17/2003	Levin	

FOREIGN PATENT DOCUMENTS

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SG		WO 99/03473	01/28/1999	Bruce H. Levin		<input type="checkbox"/>
		WO 00/44432	08/03/2000	Bruce H. Levin		<input type="checkbox"/>
		WO 01/43733 A2 / A3	06/21/2001	Bruce H. Levin		<input type="checkbox"/>
		WO 01/85094 A2 / A3	11/15/2001	Alon Shalev et al.		<input type="checkbox"/>
		WO 01/97905 A1	12/27/2001	Mehdi Ansarinia		<input type="checkbox"/>
		WO 02/32504 A2 / A3	04/25/2002	Abraham Zanger et al.		<input type="checkbox"/>
		WO 02/068029 A2 / A3	09/06/2002	Gisele Djupesland		
		WO 02/068031 A2 / A3	09/06/2002	Gisele Djupesland		
		WO 03/000310 A2	01/03/2003	Gisele Djupesland		
		WO 03/020350 A1	03/13/2003	Gisele Djupesland		
		WO 03/084591 A1	10/16/2003	Gisele Djupesland		

Examiner
Signature

S. G.

Date
Considered

3/22/06

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Approved for use through 6/30/2006. OMB 0851-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known

Application Number	10/783,113
Filing Date	February 20, 2004
First Named Inventor	Alon Shalev
Art Unit	1835
Examiner Name	
Attorney Docket No.	SC&C-101US

SHEET 1 of 1

NON-PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
56		DELÉPINE, L. et al., "Plasma Protein Extravasation Induced in the Rat Dura Mater by Stimulation of the Parasympathetic Sphenopalatine Ganglion," Experimental Neurology 147, 389-400, 1997, Article No. EN976614.	<input type="checkbox"/>
		HARA, H. et al., "Parasympathetic Cerebrovascular Innervation: An Anterograde Tracing from the Sphenopalatine Ganglion in the Rat," Neurosurgery 32(5), 822-827, May, 1993.	<input type="checkbox"/>
		KROLL, R. A. et al., "Outwitting the Blood Brain Barrier for Therapeutic Purposes: Osmotic Opening and Other Means," Neurosurgery, 42(5), 1083-1099, May 1998.	
		SANDERS, M. et al., "Efficacy of sphenopalatine ganglion blockade in 66 patients suffering from cluster headache: a 12- to 70- month follow-up evaluation," Journal of Neurosurgery, 87, 876-880, December, 1997.	<input type="checkbox"/>
		SUZUKI, N. et al., "Selective Electrical Stimulation of Postganglionic Cerebrovascular Parasympathetic Nerve Fibers Originating from the Sphenopalatine Ganglion Enhances Cortical Blood Flow in the Rat," Journal of Cerebral Blood Flow and Metabolism, 10:383-391, 1990.	<input type="checkbox"/>
		MAJOR, A. et al., "Odorants Presented to the Rat Nasal Cavity Increase Cortical Blood Flow," Chem. Senses, 24:665-669, 1999.	<input type="checkbox"/>
		FUSCO, B.M. et al., " 'Capsaicin-Sensitive' Sensory Neurons in Cluster Headache: Pathophysiological Aspects and Therapeutic Indication," Headache, 34:132-137, March 1994.	<input type="checkbox"/>
		SILVER, W.L., "Neural and Pharmacological Basis for Nasal Irritation," in Tucker WG, Leaderer BP, Molhave L, Cain WS (eds), Sources of Indoor Air Contaminants, Annals New York Academy of Sciences, 641, 152-163, 1992.	<input type="checkbox"/>
		TODA, N. et al., "CEREBRAL VASODILATATION INDUCED BY STIMULATION OF THE PTERYGOPALATINE GANGLION AND GREATER PETROSAL NERVE IN ANESTHETIZED MONKEYS," Neuroscience 96(2): 393-398, 2000.	<input type="checkbox"/>
V		ROTH, B.J. et al., "In vitro evaluation of a 4-leaf coil design for magnetic stimulation of peripheral nerve," Electroencephalography and Clinical Neurophysiology, 93, 68-74, 1994.	<input type="checkbox"/>
			<input type="checkbox"/>
			<input type="checkbox"/>
Examiner Signature	S. G.	Date Considered	3/2/06

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